

Quantifier Simplification by Unification in SMT

FroCoS 2021

Pascal Fontaine¹, Hans-Jörg Schurr²

¹Université de Liège

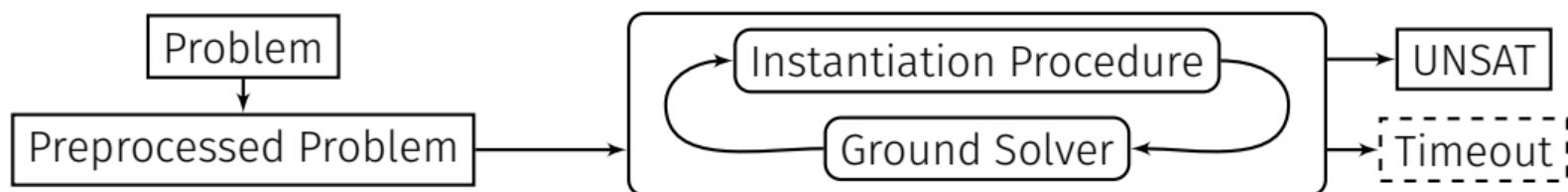
²University of Lorraine, CNRS, Inria, and LORIA

September 9, 2021

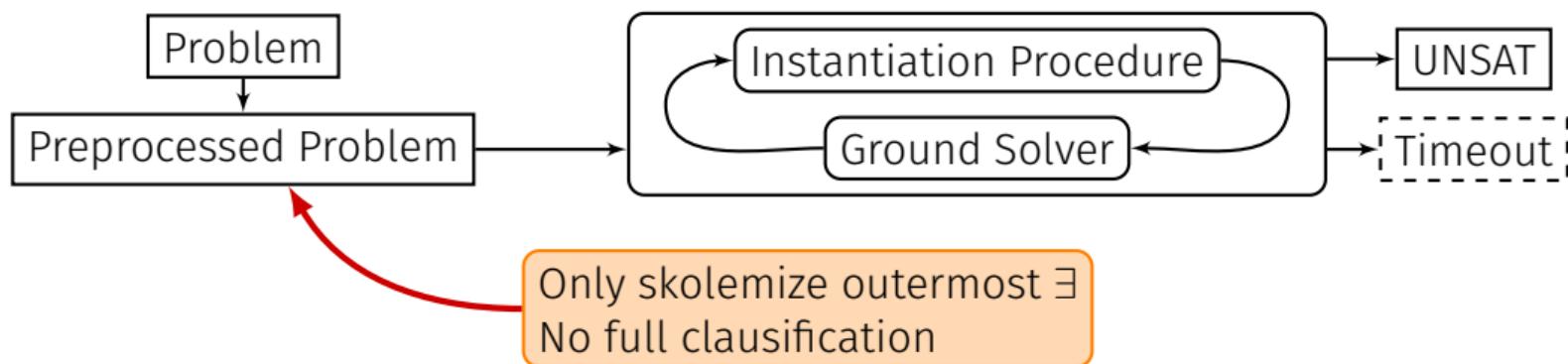


- ▶ Traditional CDCL(T) based SMT solver.
- ▶ Only refutations for quantified problems.
- ▶ Proof producing and integrated in Isabelle/HOL.

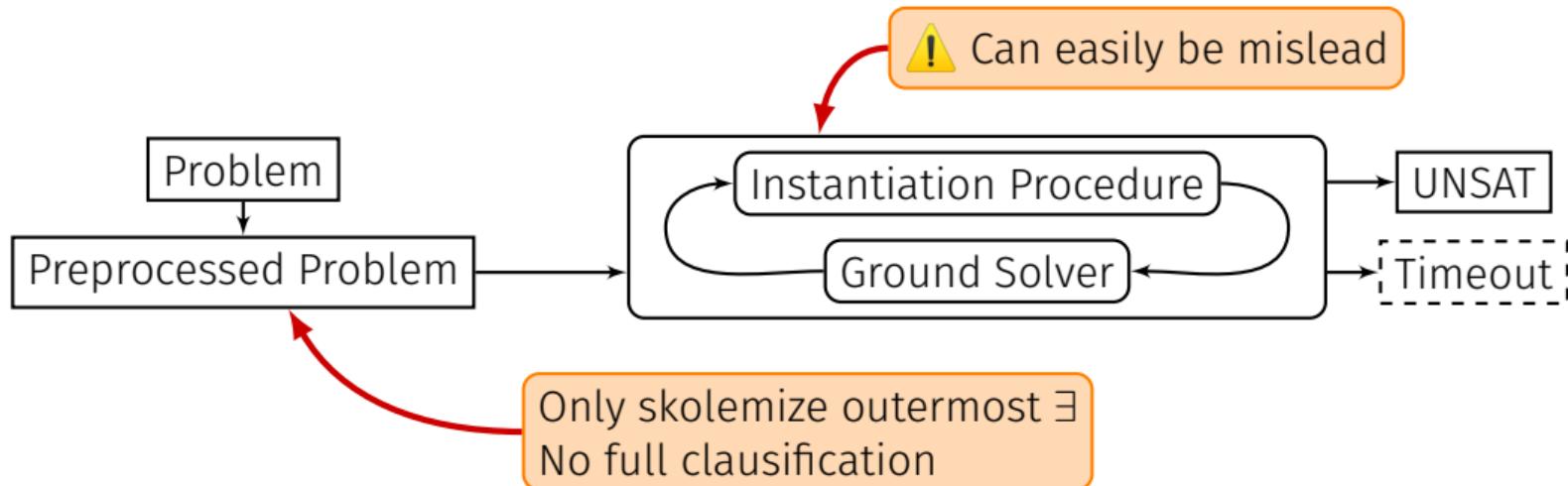
The Instantiation Loop



The Instantiation Loop



The Instantiation Loop



An Example

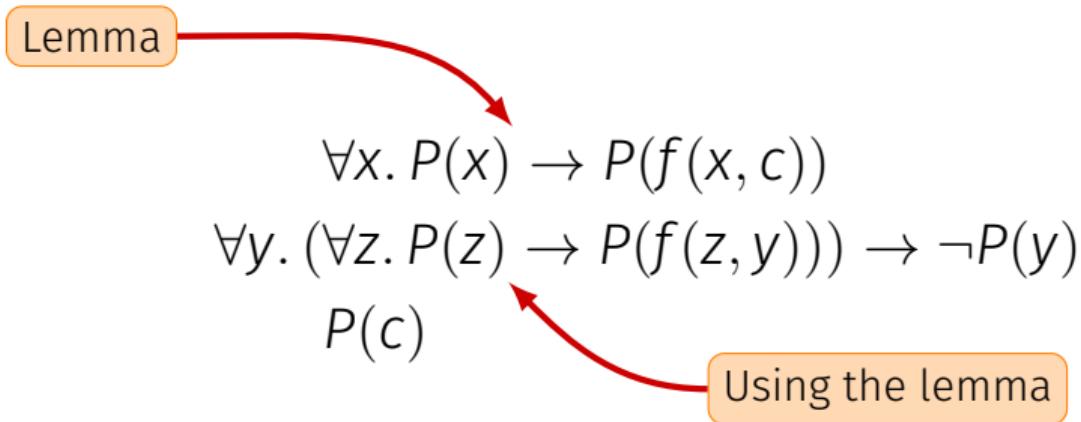
$$\begin{aligned} & \forall x. P(x) \rightarrow P(f(x, c)) \\ & \forall y. (\forall z. P(z) \rightarrow P(f(z, y))) \rightarrow \neg P(y) \\ & P(c) \end{aligned}$$

An Example

Lemma


$$\begin{aligned} & \forall x. P(x) \rightarrow P(f(x, c)) \\ & \forall y. (\forall z. P(z) \rightarrow P(f(z, y))) \rightarrow \neg P(y) \\ & P(c) \end{aligned}$$

An Example



An Example

$$\forall x. P(x) \rightarrow P(f(x, c))$$

$$\forall y. (\forall z. P(z) \rightarrow P(f(z, y))) \rightarrow \neg P(y)$$

$$P(c)$$

An Example

Instantiate with c


$$\begin{aligned} & \forall x. P(x) \rightarrow P(f(x, c)) \\ & \forall y. (\forall z. P(z) \rightarrow P(f(z, y))) \rightarrow \neg P(y) \\ & P(c) \end{aligned}$$

An Example

$$\begin{aligned} \forall x. P(x) \rightarrow P(f(x, c)) \\ (\forall z. P(z) \rightarrow P(f(z, c))) \rightarrow \neg P(c) \\ P(c) \end{aligned}$$

An Example

$$\forall x. P(x) \rightarrow P(f(x, c))$$

$$(\forall z. P(z) \rightarrow P(f(z, c))) \rightarrow \neg P(c)$$

$$P(c)$$

Skolemize z



An Example

$$\begin{aligned}\forall x. P(x) \rightarrow P(f(x, c)) \\ P(s_1) \rightarrow P(f(s_1, c)) \rightarrow \neg P(c) \\ P(c)\end{aligned}$$

An Example

$$\forall x. P(x) \rightarrow P(f(x, c))$$

$$P(s_1) \rightarrow P(f(s_1, c)) \rightarrow \neg P(c)$$

$$P(c)$$

An Example

Instantiate with s_1


$$\forall x. P(x) \rightarrow P(f(x, c))$$
$$P(s_1) \rightarrow P(f(s_1, c)) \rightarrow \neg P(c)$$
$$P(c)$$

An Example

$$P(s_1) \rightarrow P(f(s_1, c))$$

$$P(s_1) \rightarrow P(f(s_1, c)) \rightarrow \neg P(c)$$

$$P(c)$$

Let's use Unification

$$\forall x. P(x) \rightarrow P(f(x, c))$$

$$\forall y. (\forall z. P(z) \rightarrow P(f(z, y))) \rightarrow \neg P(y)$$

$$P(c)$$

Let's use Unification

$$\begin{aligned} \forall x. P(x) &\rightarrow P(f(x, c)) \\ \forall y. (P(\textcolor{orange}{s_1(y)}) &\rightarrow P(f(\textcolor{orange}{s_1(y)}, y))) \rightarrow \neg P(y) \\ P(c) \end{aligned}$$

Let's use Unification

$$\begin{aligned} \forall x. P(x) &\rightarrow P(f(x, c)) \\ \forall y. (P(s_1(y)) &\rightarrow P(f(s_1(y), y))) \rightarrow \neg P(y) \\ P(c) \end{aligned}$$

Unifier: $y \mapsto c, x \mapsto s_1(c)$

Let's use Unification

$$\begin{aligned}P(s_1(c)) &\rightarrow P(f(s_1(c), c)) \\(P(s_1(c)) \rightarrow P(f(s_1(c), c))) &\rightarrow \neg P(c) \\P(c)\end{aligned}$$

Unifier: $y \mapsto c, x \mapsto s_1(c)$

Add: $\top \rightarrow \neg P(c)$

Let's use Unification

$$\begin{aligned}P(s_1(c)) &\rightarrow P(f(s_1(c), c)) \\(P(s_1(c)) \rightarrow P(f(s_1(c), c))) &\rightarrow \neg P(c) \\P(c)\end{aligned}$$

Unifier: $y \mapsto c, x \mapsto s_1(c)$

Add: $\top \rightarrow \neg P(c)$

The General Rule

$$\frac{\forall x_1, \dots, x_n. \psi_1 \quad \forall x_{n+1}, \dots, x_m. \varphi[Qy_1, \dots, y_o. \psi_2]}{\forall x_{k_1}, \dots, x_{k_j}. \varphi[b]\sigma}$$

The General Rule

$$\frac{\forall x_1, \dots, x_n. \psi_1 \quad \forall x_{n+1}, \dots, x_m. \varphi [Qy_1, \dots, y_o. \psi_2]}{\forall x_{k_1}, \dots, x_{k_j}. \varphi [b] \sigma}$$

- \top if the polarities of ψ_1 and ψ_2 is equal
- \perp if the polarities of ψ_1 and ψ_2 is different

The General Rule

$Q \in \{\forall, \exists\}$
first nested quantifier

$$\frac{\forall x_1, \dots, x_n. \psi_1 \quad \forall x_{n+1}, \dots, x_m. \varphi [Qy_1, \dots, y_o. \psi_2]}{\forall x_{k_1}, \dots, x_{k_j}. \varphi [b] \sigma}$$

- \top if the polarities of ψ_1 and ψ_2 is equal
- \perp if the polarities of ψ_1 and ψ_2 is different

The General Rule

After Skolemization,
 ψ_1 and ψ_2 must be unifiable.

$$\frac{\forall x_1, \dots, x_n. \psi_1 \quad \forall x_{n+1}, \dots, x_m. \varphi [Qy_1, \dots, y_o. \psi_2]}{\forall x_{k_1}, \dots, x_{k_j}. \varphi [b]\sigma}$$

- \top if the polarities of ψ_1 and ψ_2 is equal
- \perp if the polarities of ψ_1 and ψ_2 is different

The General Rule

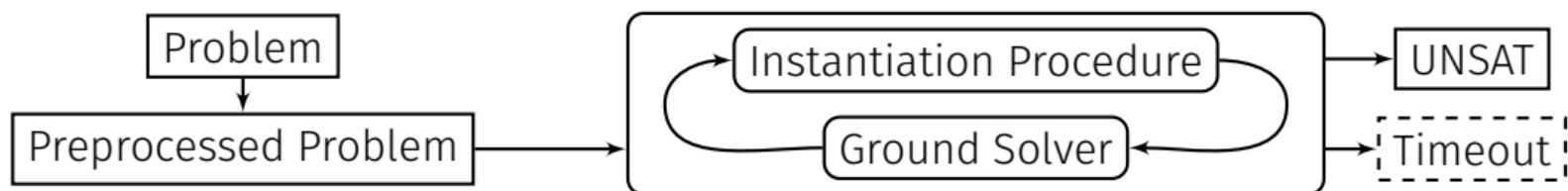
$$\frac{\forall x_1, \dots, x_n. \psi_1 \quad \forall x_{n+1}, \dots, x_m. \varphi [Qy_1, \dots, y_o. \psi_2]}{\forall x_{k_1}, \dots, x_{k_j}. \varphi[b]\sigma}$$

After Skolemization,
 ψ_1 and ψ_2 must be unifiable.

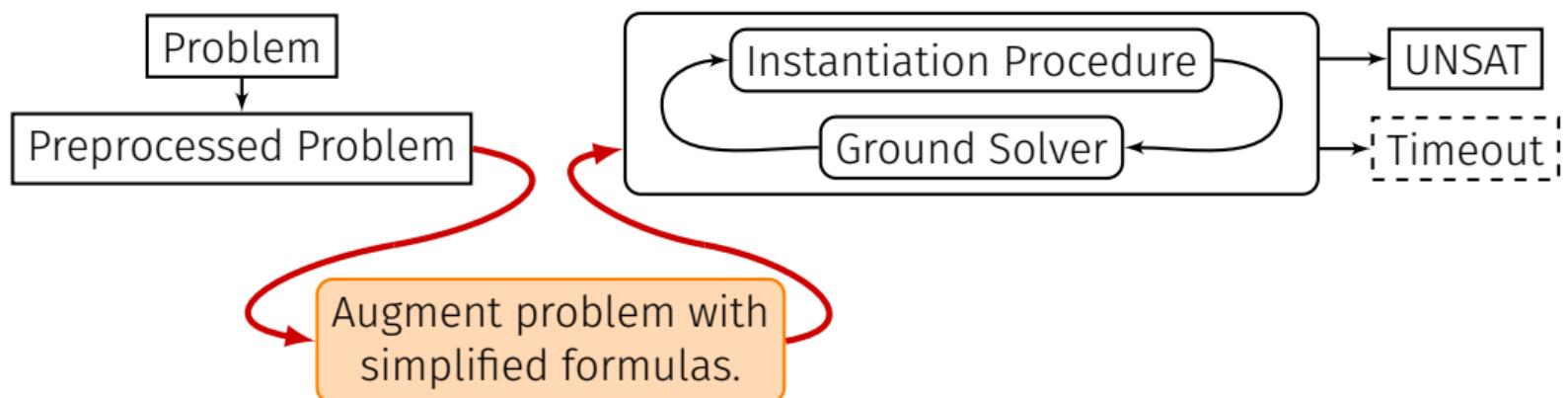
Unifier

- \top if the polarities of ψ_1 and ψ_2 is equal
- \perp if the polarities of ψ_1 and ψ_2 is different

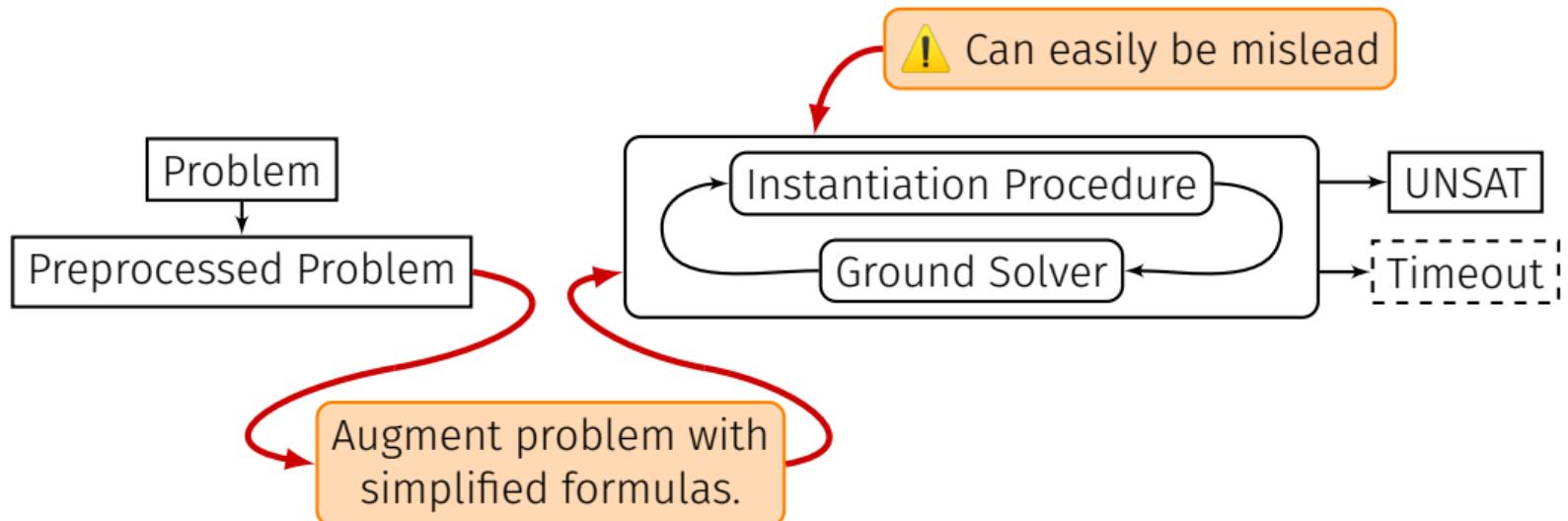
The Instantiation Loop



The Instantiation Loop



The Instantiation Loop



Implementation

- ▶ We have to perform many unifiability tests.
- ▶ We can steal the standard index data structures used by theorem provers.
- ▶ In our case: a non-perfect discrimination tree
- ▶ and a subsequent unifiability check.
- ▶ By treating strongly quantified variables as constants we can avoid creating any new symbols for skolemization!

Implementation

- ▶ We have to perform many unifiability tests.
- ▶ We can steal the standard index data structures used by theorem provers.
- ▶ In our case: a non-perfect discrimination tree
- ▶ and a subsequent unifiability check.
- ▶ By treating strongly quantified variables as constants we can avoid creating any new symbols for skolemization!

Variants

We implemented multiple variants of the base rule:

1. *Eager*: remove subformulas even if they don't start with a quantifier.
2. *Deletion*: remove the simplified formula.
3. *Eager+Deletion*: Both of the ones above.
4. *Solitary Variable*: remove subformulas containing a variable that occurs in no other subformula.
5. *Solitary Variable+Deletion*

Variants

We implemented multiple variants of the base rule:

1. *Eager*: remove subformulas even if they don't start with a quantifier.
2. *Deletion*: remove the simplified formula.
3. *Eager+Deletion*: Both of the ones above.
4. *Solitary Variable*: remove subformulas containing a variable that occurs in no other subformula.
5. *Solitary Variable+Deletion*

Variants

We implemented multiple variants of the base rule:

1. *Eager*: remove subformulas even if they don't start with a quantifier.
2. *Deletion*: remove the simplified formula.
3. *Eager+Deletion*: Both of the ones above.
4. *Solitary Variable*: remove subformulas containing a variable that occurs in no other subformula.
5. *Solitary Variable+Deletion*

Experimental Results: Baseline Strategies

vs. Default (solves 31 690)	N	E	S	Nd	Ed	Sd	Total
Solved	31 927	31 772	31 928	31 733	21 405	21 823	32 151
	+237	+82	+238	+43	-10 285	-9 867	+461
Gained	282	315	285	291	115	255	475
Lost	45	233	47	248	10 400	10 122	14
vs. Theoretical Best (solves 32 633)							
Gained	83	80	85	86	32	76	125

180 s timeout, 38 717 benchmarks, unsat. only
ALIA, AUFLIA, AUFLIRA, UF, UFIDL, UFLIA, UFLRA

N is Normal, E is Eager, S is Solitary Variable, d is Deletion

Experimental Results: Baseline Strategies

vs. Default (solves 31 690)	N	E	S	Nd	Ed	Sd	Total
Solved	31 927	31 772	31 928	31 733	21 405	21 823	32 151
	+237	+82	+238	+43	-10 285	-9 867	+461
Gained	282	315	285	291	115	255	475
Lost	45	233	47	248	10 400	10 122	14
vs. Theoretical Best (solves 32 633)							
Gained	83	80	85	86	32	76	125

180 s timeout, 38 717 benchmarks, unsat. only
ALIA, AUFLIA, AUFLIRA, UF, UFIDL, UFLIA, UFLRA

N is Normal, E is Eager, S is Solitary Variable, d is Deletion

Experimental Results: Baseline Strategies

vs. Default (solves 31 690)	N	E	S	Nd	Ed	Sd	Total
Solved	31 927	31 772	31 928	31 733	21 405	21 823	32 151
	+237	+82	+238	+43	-10 285	-9 867	+461
Gained	282	315	285	291	115	255	475
Lost	45	233	47	248	10 400	10 122	14
vs. Theoretical Best (solves 32 633)							
Gained	83	80	85	86	32	76	125

180 s timeout, 38 717 benchmarks, unsat. only
ALIA, AUFLIA, AUFLIRA, UF, UFIDL, UFLIA, UFLRA

N is Normal, E is Eager, S is Solitary Variable, d is Deletion

Experimental Results: Baseline Strategies

vs. Default (solves 31 690)	N	E	S	Nd	Ed	Sd	Total
Solved	31 927	31 772	31 928	31 733	21 405	21 823	32 151
	+237	+82	+238	+43	-10 285	-9 867	+461
Gained	282	315	285	291	115	255	475
Lost	45	233	47	248	10 400	10 122	14
vs. Theoretical Best (solves 32 633)							
Gained	83	80	85	86	32	76	125

180 s timeout, 38 717 benchmarks, unsat. only
ALIA, AUFLIA, AUFLIRA, UF, UFIDL, UFLIA, UFLRA

N is Normal, E is Eager, S is Solitary Variable, d is Deletion

Experimental Results: Baseline Strategies

vs. Default (solves 31 690)	N	E	S	Nd	Ed	Sd	Total
Solved	31 927	31 772	31 928	31 733	21 405	21 823	32 151
	+237	+82	+238	+43	-10 285	-9 867	+461
Gained	282	315	285	291	115	255	475
Lost	45	233	47	248	10 400	10 122	14
vs. Theoretical Best (solves 32 633)							
Gained	83	80	85	86	32	76	125

180 s timeout, 38 717 benchmarks, unsat. only
ALIA, AUFLIA, AUFLIRA, UF, UFIDL, UFLIA, UFLRA

N is Normal, E is Eager, S is Solitary Variable, d is Deletion

Experimental Results: Baseline Strategies

vs. Default (solves 31 690)	N	E	S	Nd	Ed	Sd	Total
Solved	31 927	31 772	31 928	31 733	21 405	21 823	32 151
	+237	+82	+238	+43	-10 285	-9 867	+461
Gained	282	315	285	291	115	255	475
Lost	45	233	47	248	10 400	10 122	14
vs. Theoretical Best (solves 32 633)							
Gained	83	80	85	86	32	76	125

180 s timeout, 38 717 benchmarks, unsat. only
ALIA, AUFLIA, AUFLIRA, UF, UFIDL, UFLIA, UFLRA

N is Normal, E is Eager, S is Solitary Variable, d is Deletion

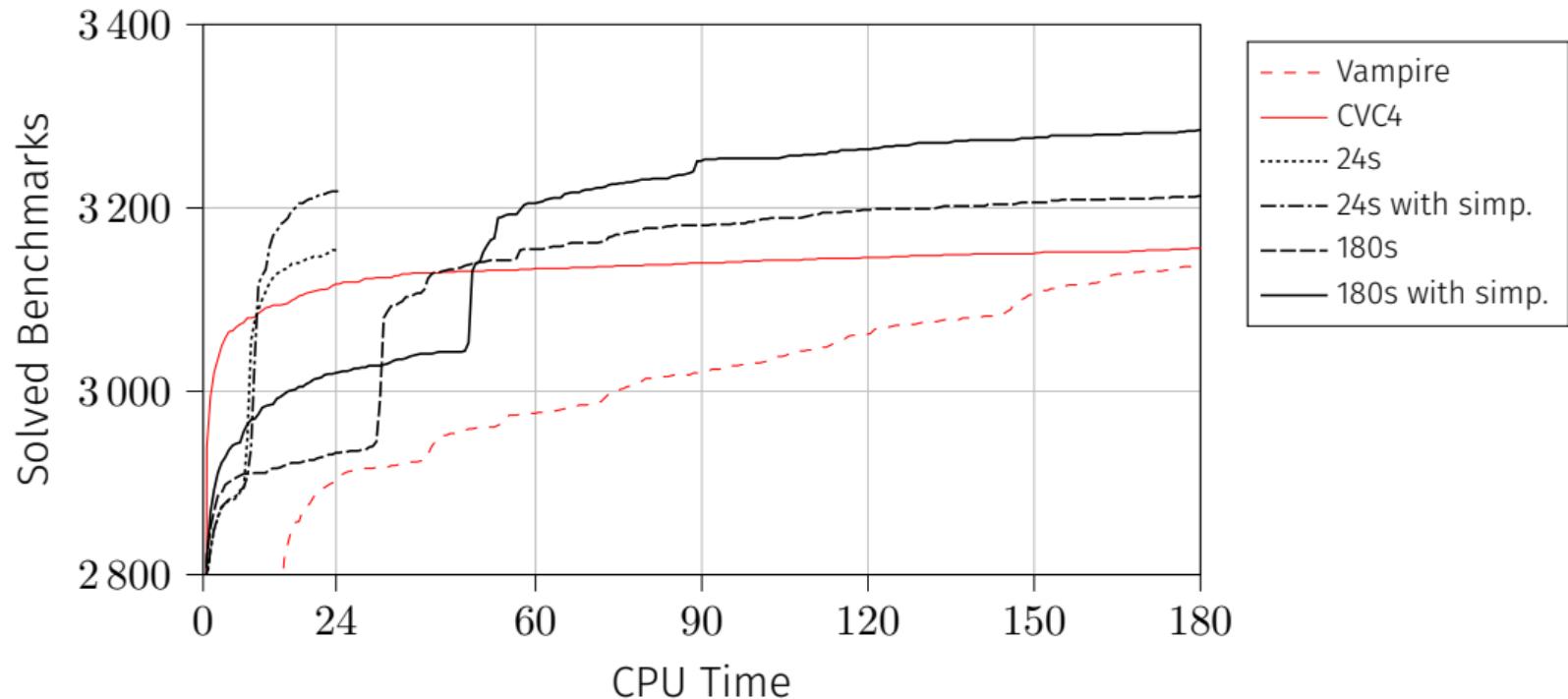
Experimental Results: Baseline Strategies

vs. Default (solves 31 690)	N	E	S	Nd	Ed	Sd	Total
Solved	31 927	31 772	31 928	31 733	21 405	21 823	32 151
	+237	+82	+238	+43	-10 285	-9 867	+461
Gained	282	315	285	291	115	255	475
Lost	45	233	47	248	10 400	10 122	14
vs. Theoretical Best (solves 32 633)							
Gained	83	80	85	86	32	76	125

180 s timeout, 38 717 benchmarks, unsat. only
ALIA, AUFLIA, AUFLIRA, UF, UFIDL, UFLIA, UFLRA

N is Normal, E is Eager, S is Solitary Variable, d is Deletion

Experimental Results: Schedules (UF only)



Thank you for
Your Attention!

